

LEGAL AUTHORITY REVIEW CHECKLIST

Name of POTW		
Date of Review		
Reviewer		INSPECTORS TRAINING – ASSIGNMENT No.1 Identify the Sewer Use Ordinance Citation for Each Fed PT Requirement
	Ordinance	Comments
A. Definitions		
(1)	Interference [403.3(k)]	
(2)	Pass through [403.3(p)]	
(3)	Industrial User [403.3(i,j)]	
(4)	New Source [403.3(m)]	
(5)	National Pretreatment Standard [403.3(l)]	
(6)	Pretreatment [403.3(s)]	
(7)	Significant Industrial User [403.3(v)]	
(8)	Significant Non-Compliance [403.8(f)(2)(vii)]	
B. Prohibited Discharges		
(1)	Interference [403.5(a)(1)]	
(2)	Pass through [403.5(a)(1)]	
(3)	Fire or Explosive Hazard [403.5(b)(1)]	
(4)	pH<5.0 or Corrosive Damage [403.5(b)(2)]	
(5)	Solid or Viscous Obstructions [403.5(b)(3)]	
(6)	Oxygen Demanding Pollutants [403.5(b)(4)]	
(7)	Heat >40°C at WWTP [403.5(b)(5)]	
(8)	Petroleum or Non-biodegrade Oils 403.5(b)(6)]	
(9)	Toxic Gases-Vapors-Fumes [403.5(b)(7)]	
(10)	Trucked or Hauled Wastes [403.5(b)(8)]	
(11)	National Categorical Standards [403.8(f)(1)(ii)]	
(12)	Local Limits [403.5(c,d), 403.8(f)(2)(iii)]	
(13)	Dilution as Substitute for Treatment [403.6(d)]	
(14)	Bypass of Necessary Treatment [403.17(d)]	
C. POTW Legal Authorities		
(1)	Deny/Condition New/Increased [403.8(f)(1)(i)]	
(2)	Require Compliance by IUs [403.8(f)(1)(ii)]	
(3)	Individual Control Mechanisms [403.8(f)(1)(iii)]	
(4)	Compliance Schedules [403.8(f)(1)(iv)]	
(5)	Inspections / Monitoring [403.8(f)(1)(v)]	
(6)	Remedies for Non-compliance [403.8(f)(1)(vi)]	
(7)	Confidentiality [403.8(f)(1)(vii) and 403.14]	

LEGAL AUTHORITY REVIEW CHECKLIST (continued)

Name of POTW		
Date of Review		
	Ordinance	Comments
D. Control Mechanism Conditions		
(1)	Statement of Duration [403.8(f)(1)(B)(1)]	
(2)	Non-transferability [403.8(f)(1)(B)(2)]	
(3)	Effluent Limits and BMRs [403.8(f)(1)(B)(3)]	
(4)	Self-monitoring / Sampling [403.8(f)(1)(B)(4)]	
(5)	Reporting / Notifications [403.8(f)(1)(B)(4)]	
(6)	Record-keeping [403.8(f)(1)(B)(4)]	
(7)	Applicable Penalties [403.8(f)(1)(B)(5)]	
(8)	Slug Control Requirements [403.8(f)(1)(B)(6)]	
E. Required Streamlining Provisions		
(1)	Slug Control in Permits [403.8(f)(1)(iii)(B)(6)]	
(2)	Notice of Slug Control Changes [403.8(f)(2)(vi)]	
(3)	Redefine SNC Chronic Viols [403.8(f)(2)(viii)(A)]	
(4)	Redefine SNC TRC Viols [403.8(f)(2)(viii)(B)]	
(5)	Redefine SNC Other Viols [403.8(f)(2)(viii)(C)]	
(6)	SIU Reports Cover BMPs [403.12(b)(e)(h)]	
(7)	BMPs in SIU Permits [403.8(f)(1)(iii)(B)(3)]	
(8)	BMP Compliance Record-keeping [403.12(o)]	
(9)	Resample 30-days of Awareness [403.12(g)(2)]	
(10)	Grabs Results in SIU Reports [403.12(g)(3,4)]	
(11)	SIUs Report All Results [403.12(g)(6)]	
(12)	SIU Representative Sampling [403.12(g)(3)]	
F. Optional Streamlining Provisions		
(1)	Redefine SNC Late Report [403.8(f)(2)(viii)(F)]	
(2)	Newspaper General Circulation [403.8(2)(viii)]	
(3)	SNC Application to SIUs [403.8(f)(2)(vii)]	
(4)	Notification of Control Authority [403.12(j)]	
(5)	Duly Authorized Employee [403.12(m)]	
(6)	Pollutants Not Present [403.8(f)(2)(v),12(e)]	
(7)	General Control Mechanism [403.8(f)(1)(iii)]	
(8)	Equivalent Conc/Mass Limits [403.6(c)(5,6)]	
(9)	Non-Significant CIUs [403.3(v)(ii)(2,3)]	
(10)	Middle-Tier CIUs [403.e(3)]	
(11)	Non-significant CIU Annual Cert [403.12(q)]	

LEGAL AUTHORITY REVIEW CHECKLIST (continued)

Name of POTW		
Date of Review		
	Ordinance	Comments
G. Reporting Requirements		
(1)	CIU Baseline Monitoring Report [403.12(b)]	
(2)	CIU Compliance Schedule Progress [403.12(c)]	
(3)	CIU 90-day Compliance Report [403.12(d)]	
(4)	CIU Periodic Compliance Report [403.12(e)]	
(5)	SIU Periodic Compliance Report [403.12(h)]	
(6)	Potential Problems Notice [403.12(f)]	
(7)	Violation Notice [403.12(g)(2)]	
(8)	Changed Discharge Notice [403.12(j)]	
(9)	Hazardous Waste Discharge Notice [403.12(p)]	
(10)	Signatory Requirements [403.12(l), 6(a)(2)]	
(11)	Record Keeping 3-yr Requirement [403.12(o)]	
(12)	Right to Inspect Copy Records [403.12(o)(2)]	
(13)	BMR Compliance Certification [403.12(b)(6)]	
H. Inspection / Monitoring Procedures [403.8(f)(1)(v)]		
(1)	Right to Enter Reasonable Times	
(2)	Right to Inspect for Compliance	
(3)	Right to Take Independent Samples	
(4)	Right to Require Install Monitoring Equipment	
I. Enforcement Procedures [403.8(f)(1)(vi)]		
(1)	Non-emergency Injunctive Relief	
(2)	Non-emergency Civil/Criminal Relief	
(3)	Emergency Immediate Halt Threats	
J. Public Participation		
(1)	Annual Publish SNC List [403.8(f)(2)(vii)]	
(2)	Confidentiality Requirements [403.8(f)(1)(vii)]	
(3)	Effluent Data Not Confidential [403.14(b)]	